

# QCD opportunities by heavy-flavour production in fixed-target experiments at the LHC

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*with input from*

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Meeting of the Forschergruppe For2926  
Universität Regensburg, Regensburg, 16 - 17 February, 2023

## Light flavour vs. heavy flavour

- \* Light-flavoured hadrons include only light quarks as valence quarks in their composition.

- \*  $m_u, m_d, m_s \ll \Lambda_{QCD}$

- $\Rightarrow \alpha_S(m_u), \alpha_S(m_d), \alpha_S(m_s) > 1$

- $\Rightarrow$  Light hadron production at low  $p_T$  is dominated by non-perturbative QCD effects.

- \* Heavy-flavoured hadrons include at least one heavy-quark as valence quark in their composition.

- \*  $m_c, m_b \gg \Lambda_{QCD}$

- $\Rightarrow \alpha_S(m_c), \alpha_S(m_b), \ll 1$

- $\Rightarrow$  At a scale  $\sim m_Q$ , QCD is still perturbative. At the LHC, charm is produced perturbatively even at low  $p_T$ , but non-perturbative effects at such low scales may also play important roles.

- \*  $m_c, m_b \ll \text{LHC energies}$

- $\Rightarrow$  Multiscale issues, appearance of large logs.

# Heavy-quark production in hadronic collisions

- \* Heavy quarks are mostly produced in pairs in the Standard Model.  
This process is dominated by QCD effects.

- \* Collinear factorization theorem is assumed:

$$d\sigma(N_1 N_2 \rightarrow Q\bar{Q} + X) = \sum_{ab} PDF_a^{N_1}(x_a, \mu_F) PDF_b^{N_2}(x_b, \mu_F) \otimes d\hat{\sigma}_{ab \rightarrow Q\bar{Q}X'}(x_a, x_b, \mu_F, \mu_R, m_Q) + \text{P.C.}$$

$d\hat{\sigma}$ : differential perturbative partonic hard-scattering cross-section,

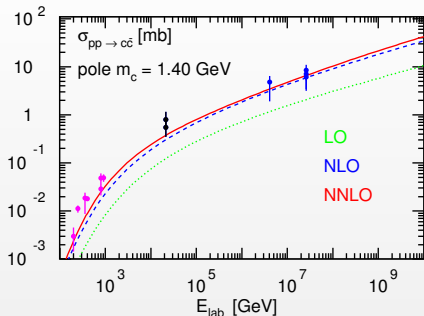
$\mu_F, \mu_R$  reabsorb IR and UV divergences,

PDFs: perturbative evolution with factorization scale  $\mu_F$ ,  
non-perturbative dependence on  $x = p^+ / P_N^+$ .

## QCD uncertainties

- \*  $\mu_F$  and  $\mu_R$  choice: no univocal recipe.
- \* Approximate knowledge of heavy-quark mass values  $m_Q$  (SM input parameters).
- \* Choice of the Flavour Number Scheme (several possibilities).
- \* PDF (+  $\alpha_S(M_Z)$ ) fits to experimental data.

# Total $\sigma(pp \rightarrow c\bar{c}(+X))$ at LO, NLO, NNLO QCD



$$(E_{lab} \simeq 400 \text{ GeV} \sim E_{cm} = 27 \text{ GeV})$$

$$(E_{lab} \simeq 7000 \text{ GeV} \sim E_{cm} = 114.6 \text{ GeV})$$

$$(E_{lab} = 10^6 \text{ GeV} \sim E_{cm} = 1.37 \text{ TeV})$$

$$(E_{lab} = 10^8 \text{ GeV} \sim E_{cm} = 13.7 \text{ TeV})$$

$$(E_{lab} = 10^{10} \text{ GeV} \sim E_{cm} = 137 \text{ TeV})$$

data from fixed target exp (E769, LEBC-EHS, LEBC-MPS, HERA-B)  
+ colliders (STAR, PHENIX, ALICE, ATLAS, LHCb)  
are **extrapolated** from fiducial measurements.

- \* LHC fixed-target program make measurements in the region between old fixed-target experiments and RHIC (not yet covered).
- \* Sizable QCD uncertainty bands not included in the figure.
- \* **Leading order is not accurate enough** for this process!

# From parton production at NLO to heavy-flavour hadrons

Different descriptions of the transition are possible:

1) fixed-order QCD + Parton Shower + hadronization:

match the fixed-order calculation with a parton-shower algorithm (resummation of part of the logarithms related to soft and collinear emissions on top of the hard-scattering process), followed by hadronization (phenomenological model).

**Advantage:** fully exclusive event generation, correlations between final state particles/hadrons are kept.

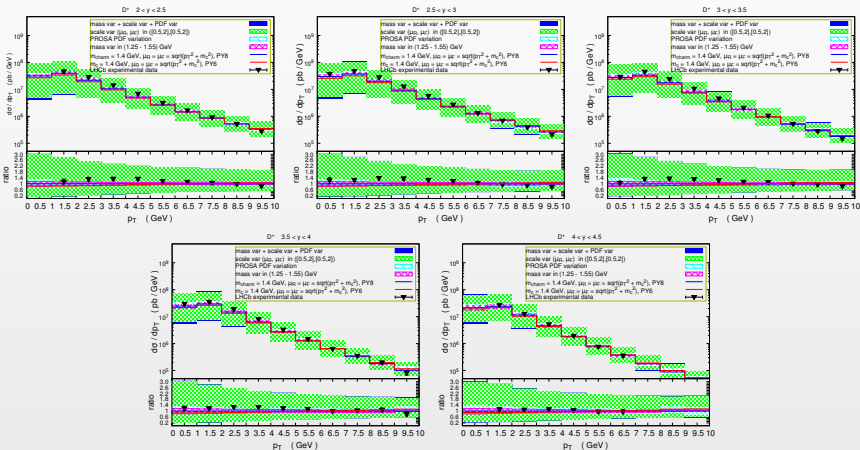
**Problem:** accuracy not exactly known, differently from the case of conventional analytical resummation procedures to all orders in  $P. T.$

2) Convolution of partonic cross-sections with Fragmentation Functions (see the following).

Both methods 1) and 2) are used in the following.

# NLO+PS differential $\sigma$ vs experimental data

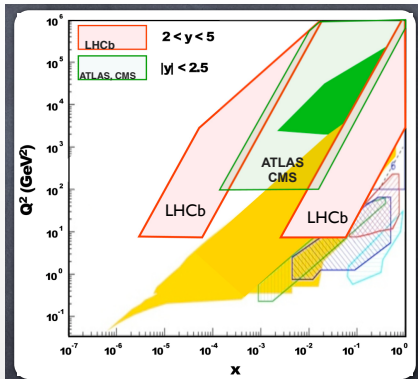
for differential cross-sections for  $pp \rightarrow D^\pm + X$  at LHCb at 5 TeV



- \* agreement theory/experiment within **large** ( $\mu_R$ ,  $\mu_F$ ) uncertainty bands.
- \* theory uncertainties much larger than the experimental ones.

# Coverage of heavy-flavour data for PDF fits

- \* LHCb open-charm data  
( $2 < y < 4.5$ )
- \* ATLAS (and CMS)  
open-charm data  
( $|y| < 2.5$ )
- \* CDF open-charm data ( $|y| < 1$ )
- \* ALICE open-charm data  
( $|y| < 0.5$ )
- + further open-bottom data

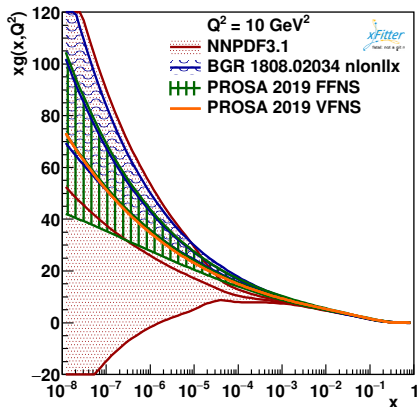
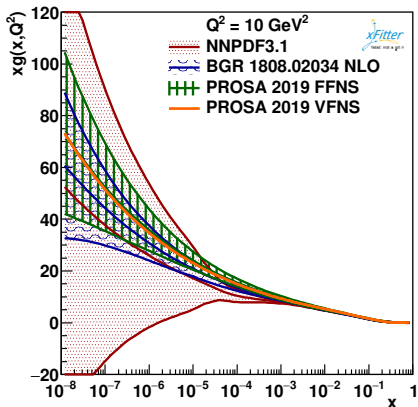


Different experiments span ( $Q^2$ ,  $x$ ) regions partially overlapping:  
good for verifying their compatibility and for cross-checking their theoretical description.

Description of similar quality for all these data so far.

These data are very useful for constraining gluon PDFs at small  $x$ :  
see e.g. various versions of PROSA PDFs and NNPDF+LHCb PDFs.

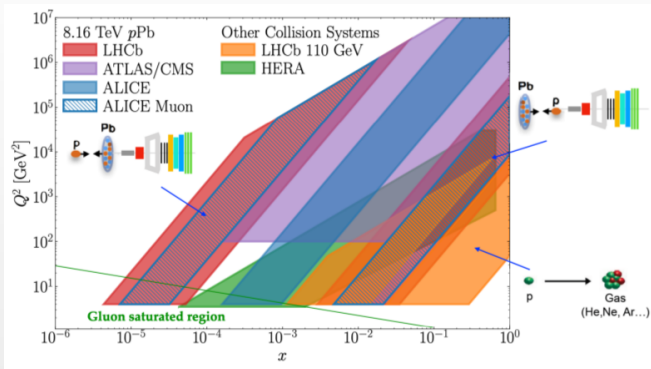
# gluon PDF: comparison between different PDF fits including LHCb $D$ -meson production data



- \* LHCb  $D$ -meson data (collider modality) constrain PDFs at small  $x$ .
- \* Compatibility of independent PDF fits including  $D$ -meson data: PROSA central gluon lies in the interval between central NNPDF3.1+LHCb NLO and NNPDF3.1+LHCb NLO+(small- $x$ )NLL.



# Fixed-target experiments at the LHC: increased large $x$ coverage and sensitivity to nuclear matter effects



from K. Mattioli (LHCb), talk at QCD@LHC, December 2022

\* LHCb-FT coverage at scale  $Q^2 \sim 4 \text{ GeV}^2$ :

$$2 \cdot 10^{-4} \lesssim x \lesssim 4 \cdot 10^{-1} \Rightarrow \text{gluon, sea quarks and intrinsic charm}$$

\* Light targets: probe NM effects in  $pA$  collisions in  $A$  range different from Pb

\* Cold and Hot Nuclear Matter effects (at small  $x$ ) can be compared by using  $p$  or Pb beams impinging on the nuclear targets (He, Ne, Ar, .....).

## Fixed-target experiments at the LHC: $y^*$ coverage

- \* LHCb SMOG (Run 2):  
center-of-mass rapidity  
of heavy-flavour:  $-2.29 < y^* < 0$ ,  
corresponding to rapidity  
 $2.0 < y < 4.29$

- \* LHCb SMOG2 (Run 3):  
 $-2.8 < y^* < 0.2$

- \* ALICE FT extension (Run 4):  
 $-3.6 < y^* < -2.6$

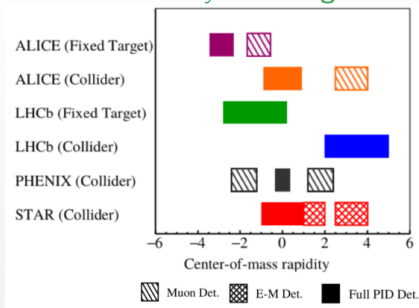
- \* Different experiments span  $y^*$  regions partially overlapping:  
good for verifying their compatibility and for cross-checking their results.

- \* But different materials:

$H_2, D_2, Ar, Kr, Xe, He, Ne, N_2, O_2$  (SMOG2) vs.  $C, Ti, W$  (ALICE-FT)

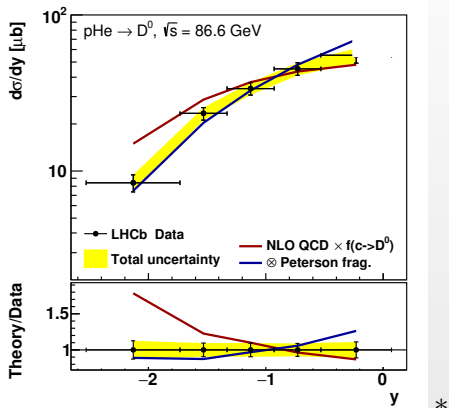
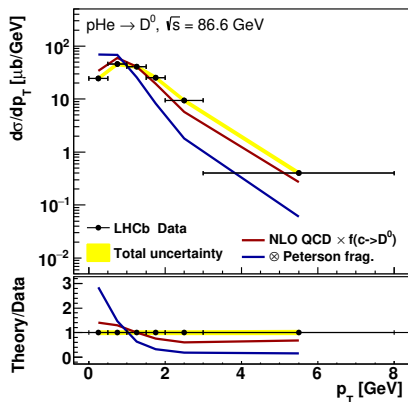
- \* ALICE more backward than LHCb  $\Rightarrow$  larger target  $\times$

- \* Most recent results on  $D^0$  production at  $\sqrt{s_{NN}} = 68.5$  GeV from LHCb



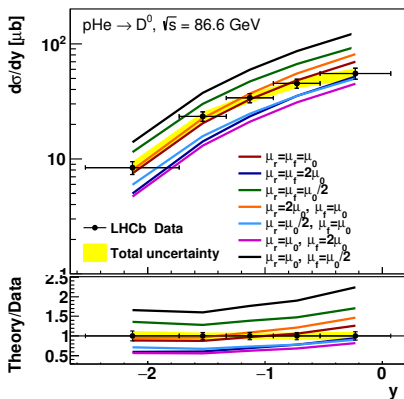
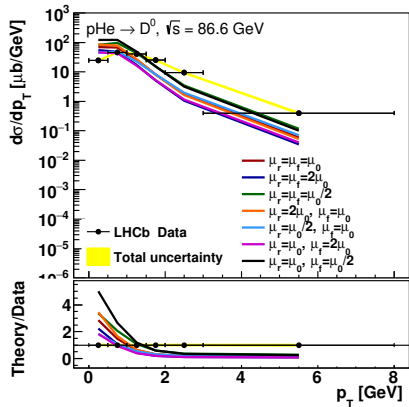
*from FTP4LHC community support document for FT program at LHC*

# PROSA (NLO QCD + phenomenological FF) computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pHe in [arXiv:1810.07907]



The same NLO + phenomenological FF setup capable to reproduce LHCb  $pp \rightarrow D_0 + X$  data at  $\sqrt{s} = 5, 7$  and  $13 \text{ TeV}$ , fails to reproduce data at LHC in fixed-target mode  $\rightarrow$  inadequacy/non univ. of the FF approach

# PROSA (NLO QCD + phenomenological FF) computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pHe in [arXiv:1810.07907]



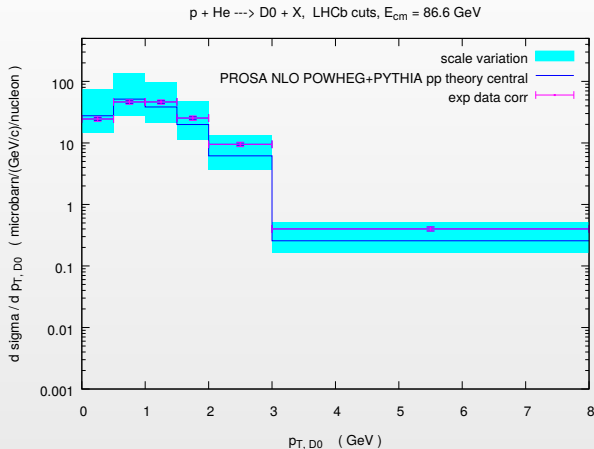
\* Scale variation uncertainties are not enough to reconcile theory with data on  $p_{T,D_0}$  distribution.

\*  $y_{D_0}$  distribution well in agreement with exp. data, within scale uncer.

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pHe in [arXiv:1810.07907]

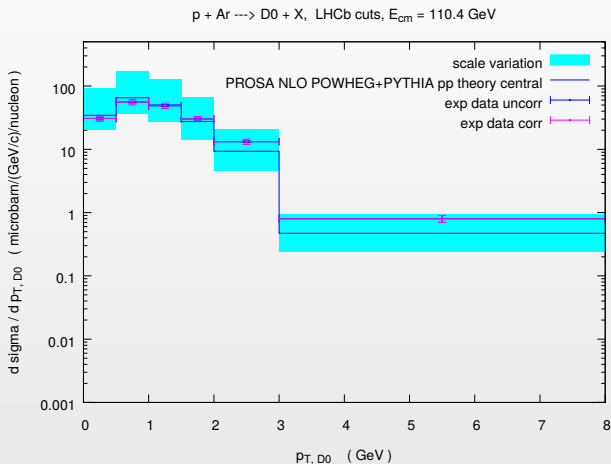
- \* Total cross-sections per nucleon for  $D_0 + \bar{D}_0$  after LHCb rapidity cuts:  
Theory:  $\sigma = 76.1 + 116$  (scale) - 35 (scale) microbarn/n  
LHCb:  $\sigma = 80.8 \pm 2.4 \pm 6.3$  microbarn/n
- \* Total cross-sections per nucleon for  $D_0 + \bar{D}_0$  inclusive:  
Theory:  $\sigma = 148.7 + 229$  (scale) - 83 (scale) microbarn/n  
LHCb:  $\sigma = 156.0 \pm 13$  microbarn/n

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pHe



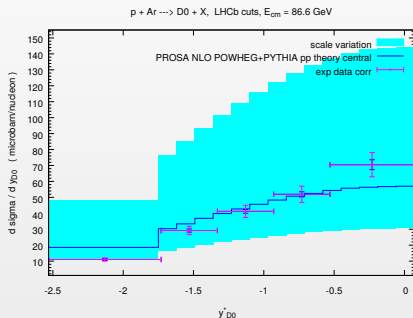
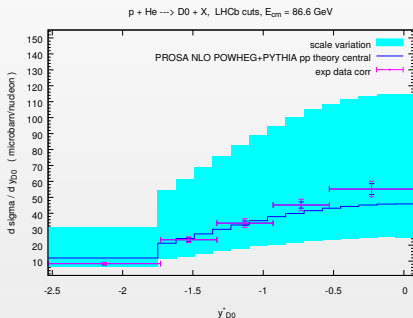
- \*  $pA$  effects might broaden the distribution
- \*  $pp$  central theory predictions slightly underestimate the high  $p_T$  tails, but still compatible with data considering scale uncertainties

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pAr



\* exp. ( $p+He$ ) and ( $p+Ar$ ) data similarly enhanced with respect to theory at large  $p_T$ : final state effects ?

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pHe and pAr



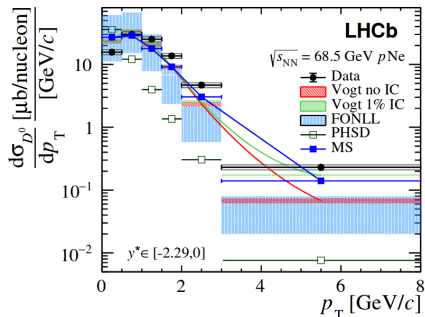
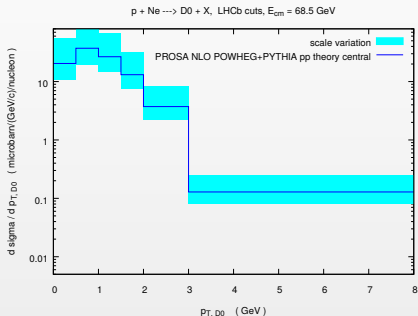
- \* Big scale uncertainties.
- \* Before discussing intrinsic charm, one has to disentangle  $pA$  effects: they can impact on the shapes of the distributions.



# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pNe in [arXiv:2211.11633]

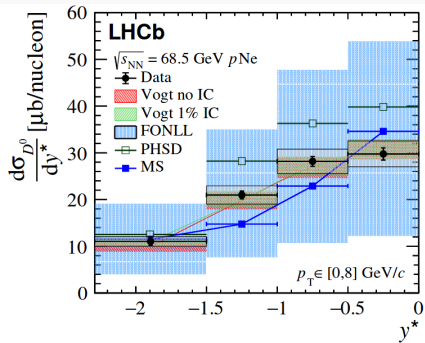
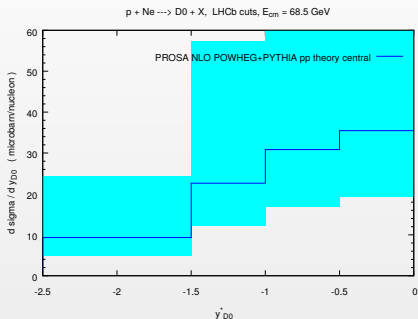
- \* Total cross-sections per nucleon for  $D_0 + \bar{D}_0$  after LHCb rapidity cuts:  
Theory:  $\sigma = 53 + 81 \text{ (scale)} - 24 \text{ (scale)}$  microbarn/n  
LHCb:  $\sigma = 48.2 \pm 0.3 \pm 4.3$  microbarn/n
- \* Total cross-sections per nucleon for  $D_0 + \bar{D}_0$  inclusive:  
Theory:  $\sigma = 109 + 167 \text{ (scale)} - 50 \text{ (scale)}$  microbarn/n  
LHCb:  $\sigma = 97.6 \pm 0.7 \pm 9.1$  microbarn/n

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pNe



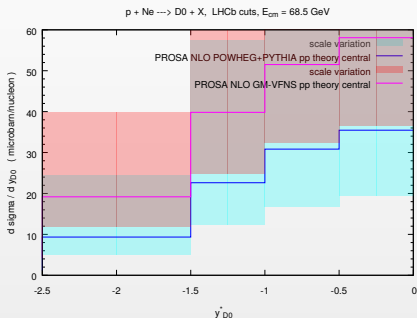
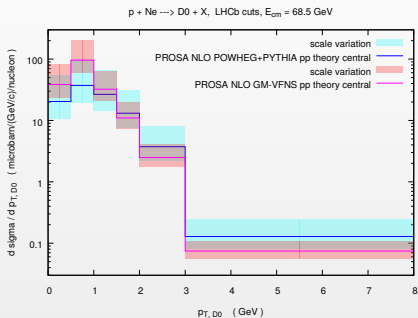
- \*  $pA$  effects might broaden the distribution
- \*  $pp$  central theory predictions slightly underestimate the high  $p_T$  tails, but still compatible with data considering scale uncertainties.
- \* No need for IC to explain the high  $p_T$  tail.

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pNe



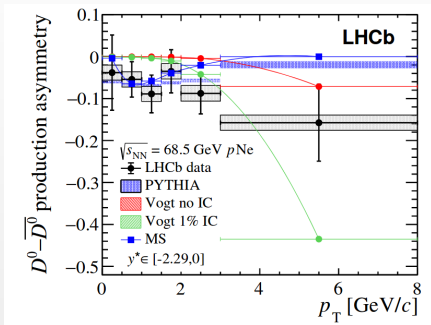
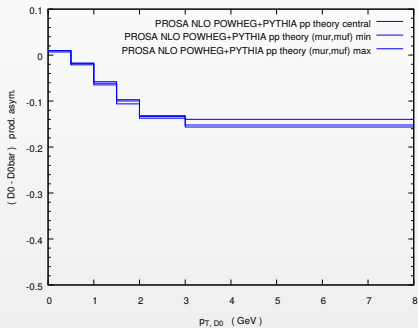
- \*  $pp$  central theory predictions slightly overestimate the high  $y$  tails, but still compatible with data considering scale uncertainties.
- \* No need for IC to explain this distribution as well.

# PROSA NLO+PS computation of $D^0$ -meson production in $p$ Ne w.r.t. GM-VFNS NLO + FF



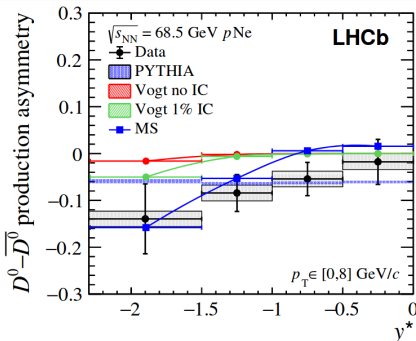
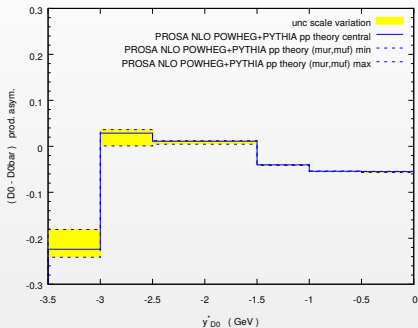
- \* GM-VFNS predictions compatible with NLO+PS predictions, if one accounts for the scale uncertainty bands of both.
- \* GM-VFNS predictions however not in agreement with the LHCb data.
- \* GM-VFNS  $y_{D^0}$ -distribution spoiled by the low  $p_{T,D^0}$  behaviour.

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pNe



- \*  $D^0 - \bar{D}^0$  production asymmetry as a function of  $p_T$  from  $pp$  predictions compatible with data, considering uncertainty on the latter.
- \* Most theory uncertainties cancel in ratios.
- \* Reduction in data uncorrelated (systematical + statistical) uncertainty is needed for more conclusive remarks.

# PROSA NLO+PS computation of $D^0$ -meson production w.r.t. LHCb fixed-target data on pNe



- \*  $D^0 - \bar{D}^0$  production asymmetry as a function of  $y$  from  $pp$  predictions compatible with data only for central rapidity ( $-1 < y^* < 0$ ), considering uncertainty on the latter.
- \* for  $-2.5 < y^* < -1$ , theory predictions not compatible with data.
- \* Theory predictions lead to a very negative asymmetry only at rapidities  $y < -3$  (effect of recombination with  $p$  remnant:  $\bar{D}^0 = \bar{c}u$ ).

# PROSA NLO+PS computation of $D^0$ -meson production in fixed-target experiments at HL-LHC

- \* Total cross-sections per nucleon for  $D_0 + \bar{D}_0$  after LHCb-SMOG2 rapidity cuts:

Theory:  $\sigma = 114 + 186 \text{ (scale)} - 52 \text{ (scale)} \text{ microbarn/n}$

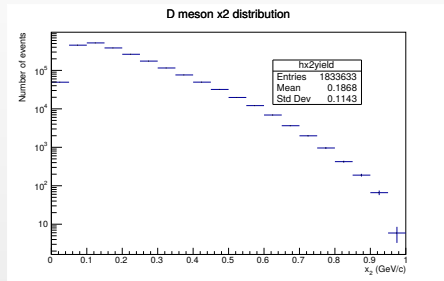
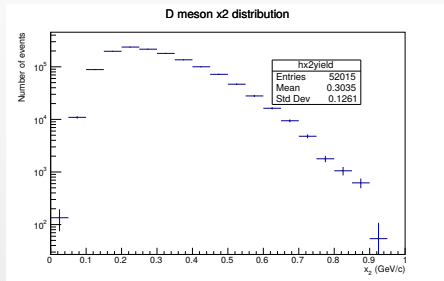
- \* Total cross-sections per nucleon for  $D_0 + \bar{D}_0$  after ALICE-FT rapidity cuts:

Theory:  $\sigma = 3.1 + 5.3 \text{ (scale)} - 1.5 \text{ (scale)} \text{ microbarn/n}$

- \* Total  $c\bar{c}$  cross-section - no cuts :

Theory:  $\sigma = 187 + 288 \text{ (scale)} - 86 \text{ (scale)} \text{ microbarn/n}$

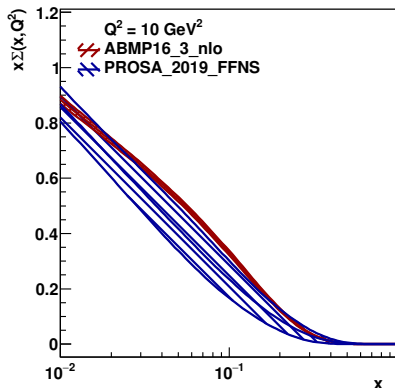
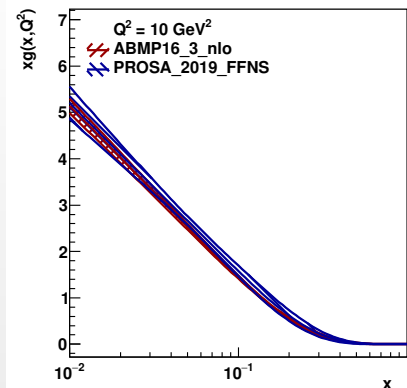
# $x_2$ range probed at ALICE-FT and LHCb-SMOG2 (HL-LHC)



- \* ALICE-FT  $x_2$  distribution peaked in the [0.2 - 0.25] bin
- \* LHCb-SMOG2  $x_2$  distribution peaked in the [0.1 - 0.15] bin

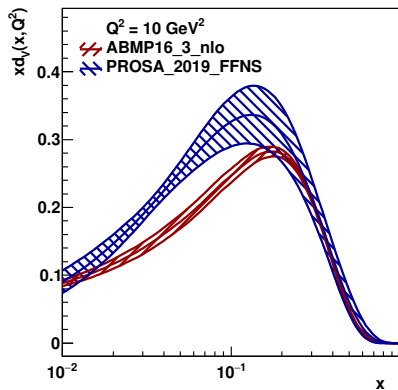
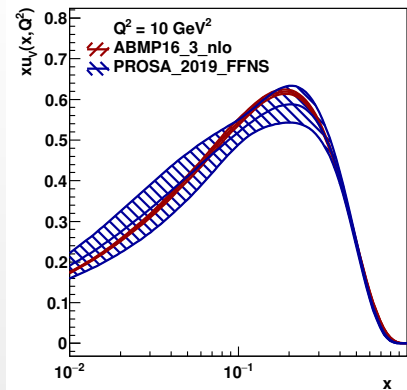


# PROSA 2019 vs. ABMP16 PDFs at large $x$



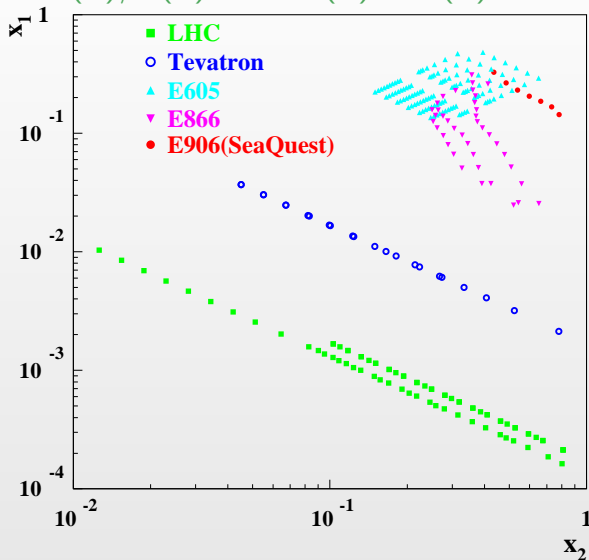
- \* PROSA 2019 and ABMP16  $g(x)$  turns out to be compatible among each other, notwithstanding being constrained by different data sets.
- \* ABMP16 uncertainties much smaller than PROSA 2019 ones.

# PROSA 2019 vs. ABMP16 PDFs at large $x$



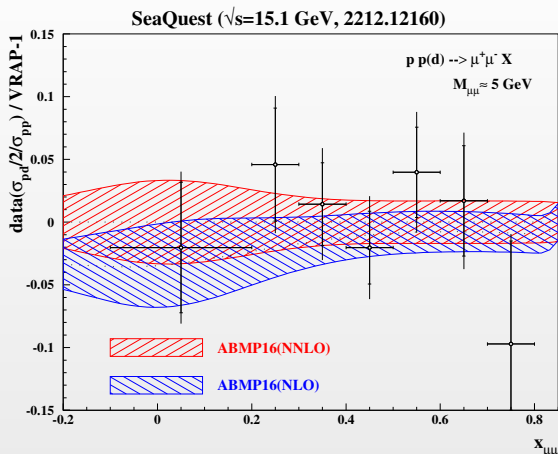
- \* PROSA 2019 and ABMP16  $u_v(x)$  turns out to be compatible among each other (effect of DIS data in both fits).
- \* Large discrepancies in case of  $d_v(x)$  in case of  $2 \cdot 10^{-2} < x < 10^{-1}$ : ABMP16 are more reliable, including DY data (inclusive and asymmetries), sensitive to light flavour separation.

# $(x_2, x_1)$ coverage of DY at different experiments sensitive to $\bar{d}(x)/\bar{u}(x)$ and $\bar{d}(x) - \bar{u}(x)$



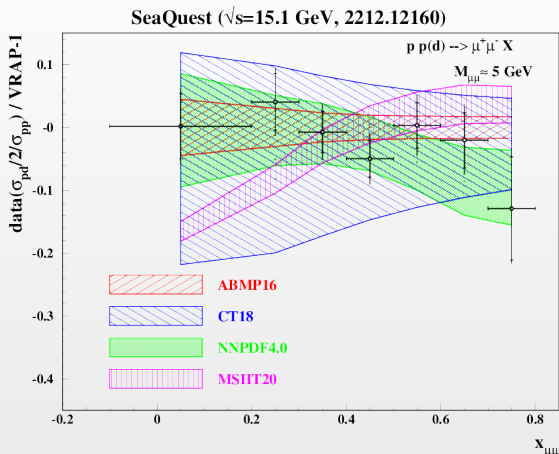
\* fixed-target experiments sensitive to large  $(x_1, x_2)$

# ABMP16 comparison with recent SeaQuest data



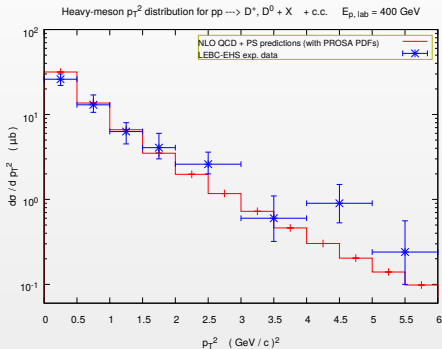
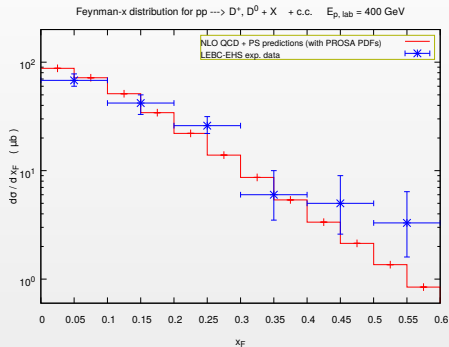
- \* Data not included in the fit, but well reproduced by the latter.
- \* Confirm  $\bar{d}(x)/\bar{u}(x) > 1$  at large  $x$ .

# Comparison of global PDFs with recent Seaquest data



- \* NNPDF4.0 agrees with them, even because it includes them in the fit.
- \* (Too) large CT18 bands: these data can be used to constrain them.

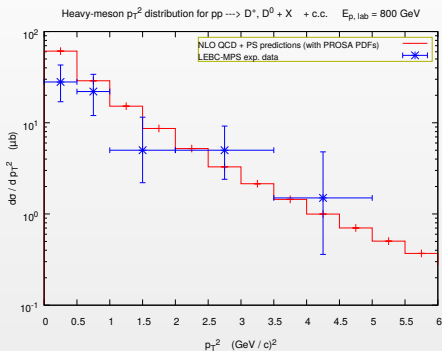
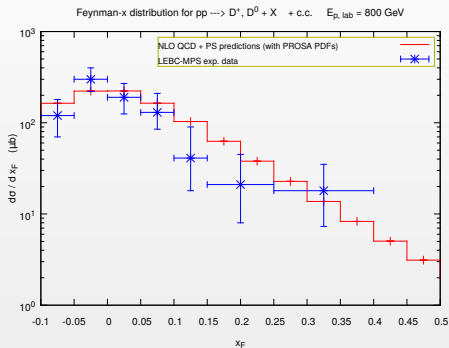
# Performances of the PROSA QCD computation of $D$ -meson production w.r.t. LEBC-EHS exp. data



Fixed target experiment with  $E_{p,lab} = 400$  GeV.

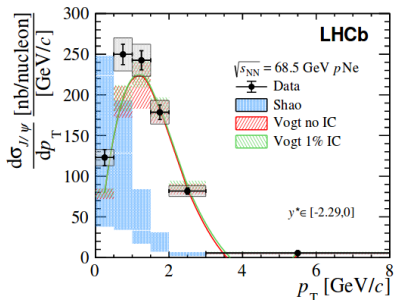
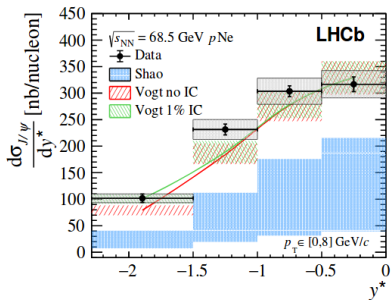
- \* Measure relatively large  $x_F = p_{z,D}/p_{z,D}^{max}$  (up to  $x_F \sim 0.6$ ) and  $p_T^2$ .
- \* Sizable QCD uncertainty band not included in the plot.

# Performances of the PROSA QCD computation of $D$ -meson production w.r.t. LEBC-MPS exp. data



- \* Fixed target experiment with  $E_{lab} = 800$  GeV.
- \* Measure relatively large  $x_F$  (up to  $x_F \sim 0.4$ ).
- \* Sizable QCD uncertainty band not included in the plot.

# Another challenge: comparison with data on charmonium production in $p\text{Ne}$ at $\sqrt{s_{NN}} = 68.5$ GeV at LHCb-SMOG



from LHCb collaboration, [arxiv:2211.11645]

- \* Can NRQCD reproduce the experimental  $p_{T,J/\psi}$  distribution at least at large enough  $p_{T,J/\psi}$  ?

Work in progress with M. Butenschoen....



## Conclusions

- \* LHC fixed-target program has produced first high-quality data on  $D^0$  production, exploring a  $\sqrt{s_{NN}}$  region in between old fixed-target experiments and RHIC.
- \* LHCb has produced first high-quality data, but reduction of statistical and especially systematical uncertainties is important for using them for making strong conclusions. Important to add ALICE-FT experiment for enlarging  $y$  and  $A$  coverage and for cross-checking LHCb-FT results.
- \* No evident need for intrinsic charm to explain present data, but  $pA$  effects have also to be understood.
- \* Data on  $(D^0 - \bar{D}^0)$  asymmetries particularly interesting. Asymmetry as a function of  $y$  can be used to constrain soft physics (hadronization including recombination with beam remnants) in SMC codes.
- \* Target fragmentation region: standard FF picture does not work for emissions anticollinear w.r.t. to the incoming beam. Fracture functions ?  
New factorization theorem ?